



10031311.050602

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PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	Attorney Docket Number	X-12591
	First Named Inventor	Karin Briner
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing	Examiner Name	
<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SEROTONERGIC BENZOTHIOPHENES

the specification of which
☐ is attached hereto
OR
☒ was filed on **21 July 2000** as United States Application Number or PCT International

Application Number **PCT/US00/17864** and was amended on **(MM/DD/YYYY)** (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/146,185 60/172,784	29 July 1999 20 December 1999	

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PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,263
Lynn D. Apelgren	45,341
Robert A. Armitage	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,796
Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
Charles E. Cohen	34,565
Donald L. Corneglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
Elizabeth A. Dawalt	44,646
John C. Demeter	30,167
Manisha A. Desai	43,585
Joanne Longo Feeney	35,134
Paul J. Gaylo	36,808
Francis O. Ginah	44,712
Janet A. Gongola	48,436
Amy E. Hamilton	33,894
Frederick D. Hunter	26,915
Thomas E. Jackson	33,064
Charles Joyner	30,466
Gerald P. Keleher	43,707

Attorney Name	Reg. No.
James J. Kelley	41,888
Paul J. Koivuniemi	31,533
Robert E. Lee	27,919
Kirby Lee	47,744
James P. Leads	35,241
Nelsen L. Lentz	38,537
Douglas K. Norman	33,267
Arleen Palmberg	40,422
Thomas G. Plant	35,784
Edward Prein	37,212
Grant E. Reed	41,264
James J. Sales	33,773
Michael J. Sayles	32,295
Robert L. Sharp	45,609
David M. Stemerick	40,187
Mark J. Stewart	43,936
Robert D. Titus	40,206
Robert C. Tucker	45,165
Tina M. Tucker	47,145
MaChari Vomdran-Jones	38,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
Dan L. Wood	48,613

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	ELI LILLY AND COMPANY				
Address	ATTN: R. CRAIG TUCKER				
Address	LILLY CORPORATE CENTER/DC1104				
City	INDIANAPOLIS	State	INDIANA	ZIP	46285
Country		Telephone	(317) 433-9829	Fax	(317) 276-3861

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor

Given Name	Karin	Middle Name		Family Name	Briner	Suffix e.g. Jr.	
------------	-------	-------------	--	-------------	--------	-----------------	--

Inventor's Signature

Date

Residence: City	Indianapolis	State	IN	Country	USA	Citizenship	Switzerland
-----------------	--------------	-------	----	---------	-----	-------------	-------------

Address 7649 Pinesprings East Drive

Post Office Address SAME AS ABOVE

City	Indianapolis	State	IN	Zip	46256	Country	USA
------	--------------	-------	----	-----	-------	---------	-----

☒ Additional inventors are being named on supplement sheet(s) attached hereto.

Please type a plus sign (+) inside this box

□



PTO/SB/01 (8-96) (MODIFIED)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Timothy	Middle Name	Paul	Family Name	Burkholder	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Carmel	State	IN	Country	USA	Citizenship	USA
Post Office Address	13904 Springmill Ponds Circle						
Post Office Address	SAME AS ABOVE						
City	Carmel	State	IN	Zip	46032	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Richard	Middle Name	Gerard	Family Name	Conway	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Carmel	State	IN	Country	USA	Citizenship	USA
Post Office Address	121 Lark Drive, Apartment C						
Post Office Address	SAME AS ABOVE						
City	Carmel	State	IN	Zip	46032	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Brian	Middle Name	Eugene	Family Name	Cunningham	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Martinsville	State	IN	Country	USA	Citizenship	USA
Post Office Address	6990 Red Day Road						
Post Office Address	SAME AS ABOVE						
City	Martinsville	State	IN	Zip	46151	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Don	Middle Name	Richard	Family Name	Finley	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Greenwood	State	IN	Country	USA	Citizenship	USA
Post Office Address	4644 Macy Drive						
Post Office Address	SAME AS ABOVE						
City	Greenwood	State	IN	Zip	46142	Country	USA

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PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**DECLARATION**

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Lawrence	Middle Name	Joseph	Family Name	Heinz	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Pittsboro	State	IN	Country	USA	Citizenship	USA
Address	212 Fawn Court						
Post Office Address	SAME AS ABOVE						
City	Pittsboro	State	IN	Zip	46167	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Cynthia	Middle Name	Darshini	Family Name	Jesudason	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Indianapolis	State	IN	Country	USA	Citizenship	Sri Lanka
Post Office Address	1090 Fleetwood Drive						
Post Office Address	SAME AS ABOVE						
City	Indianapolis	State	IN	Zip	46228	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Daniel	Middle Name	Timothy	Family Name	Kohlman	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Camby	State	IN	Country	USA	Citizenship	USA
Post Office Address	6281 East Old Otto Court						
Post Office Address	SAME AS ABOVE						
City	Camby	State	IN	Zip	46113	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Sidney	Middle Name	Xi	Family Name	Liang	Suffix e.g. Jr.	
Inventor's Signature	<i>Sidney X Liang</i>					Date	1/16/02
Residence: City	Hamden	State	CT	Country	USA	Citizenship	USA
Address	1404 Aspen Glen Drive						
Post Office Address	SAME AS ABOVE						
City	Hamden	State	CT	Zip	06518	Country	USA

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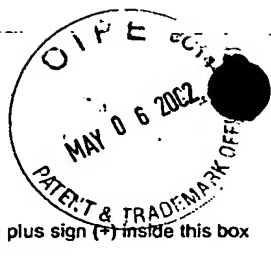
PTO/SB/01 (8-96) (MODIFIED)

DECLARATION									
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name	Yao-Chang			Middle Name		Family Name	Xu		Suffix e.g. Jr.
Inventor's Signature						Date			
Residence: City	Fishers			State	IN	Country	USA		Citizenship Canada
Post Office Address	10815 Timber Springs Drive East								
Post Office Address	SAME AS ABOVE								
City	Fishers			State	IN	Zip	46038		Country USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name				Middle Name		Family Name			Suffix e.g. Jr.
Inventor's Signature						Date			
Residence: City				State		Country			Citizenship
Post Office Address									
Post Office Address	SAME AS ABOVE								
City				State		Zip			Country

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name				Middle Name		Family Name			Suffix e.g. Jr.
Inventor's Signature						Date			
Residence: City				State		Country			Citizenship
Address									
Post Office Address	SAME AS ABOVE								
City				State		Zip			Country

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name				Middle Name		Family Name			Suffix e.g. Jr.
Inventor's Signature						Date			
Residence: City				State		Country			Citizenship
Post Office Address									
Post Office Address	SAME AS ABOVE								
City				State		Zip			Country



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☐

PTO/SB/01 (8-96) (MODIFIED)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**☐
☒

Declaration Submitted with Initial Filing

Declaration Submitted after Initial Filing

Attorney Docket Number

X-12591

First Named Inventor

Karin Briner

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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the specification of which

☐ is attached hereto
OR☒ was filed on
(MM/DD/YYYY)

21 July 2000

as United States Application Number or PCT International

Application
Number

PCT/US00/17864

and was amended on
(MM/DD/YYYY)

(if applicable)

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Application Number(s)

Filing Date (MM/DD/YYYY)

60/146,185

29 July 1999

60/172,784

20 December 1999

☐ Additional provisional application
numbers are listed on a supplemental
priority sheet attached hereto.

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,263
Lynn D. Apelgren	45,344
Robert A. Armitage	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,796
Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
Charles E. Cohen	34,565
Donald L. Corneglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
Elizabeth A. Dawalt	44,646
John C. Demeter	30,167
Manisha A. Desai	43,585
Joanne Longo Feeney	35,134
Paul J. Gayle	36,808
Francis O. Ginah	44,712
Janet A. Gongola	48,436
Amy E. Hamilton	33,894
Frederick D. Hunter	26,915
Thomas E. Jackson	33,064
Charles Joyner	30,466
Gerald P. Kelerher	43,707

Attorney Name	Reg. No.
James J. Kelley	41,888
Paul J. Koivunemi	31,533
Robert E. Lee	27,919
Kirby Lee	47,744
James P. Leeds	35,241
Nelsen L. Lentz	38,537
Douglas K. Norman	43,267
Arlen Palmberg	40,422
Thomas G. Plant	35,784
Edward Prein	37,212
Grant E. Reed	41,264
James J. Sales	33,773
Michael J. Sayles	32,295
Robert L. Sharp	45,609
David M. Stemerick	40,187
Mark J. Stewart	43,938
Robert D. Titus	40,206
Robert C. Tucker	45,165
Tina M. Tucker	47,145
MaChari VonDrain-Jones	36,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
Dan L. Wood	48,613

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Name	ELI LILLY AND COMPANY		
Address	ATTN: R. CRAIG TUCKER		
Address	LILLY CORPORATE CENTER/DC1104		
City	INDIANAPOLIS	State	INDIANA
Country		ZIP	46285
Telephone	(317) 433-9829	Fax	(317) 276-3861

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor

Given Name	Karin	Middle Name		Family Name	Briner	Suffix e.g. Jr.	
Inventor's Signature	<i>Karin Briner</i>			Date	11/9/02		
Residence: City	Indianapolis	State	IN	Country	USA	Citizenship	Switzerland
Address	7649 Pinesprings East Drive						
Post Office Address	SAME AS ABOVE						
City	Indianapolis	State	IN	Zip	46256	Country	USA

☒ Additional Inventors are being named on supplement sheet(s) attached hereto.

Please type a plus sign (+) inside this box ☐

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

DECLARATION

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Timothy	Middle Name	Paul	Family Name	Burkholder
Inventor's Signature	Timothy Paul Burkholder			Date	1/16/2002
Residence: City	Carmel	State	IN	Country	USA
Post Office Address	13904 Springmill Ponds Circle				
Post Office Address	SAME AS ABOVE				
City	Carmel	State	IN	Zip	46032
				Country	USA

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Richard	Middle Name	Gerard	Family Name	Conway
Inventor's Signature	Richard Gerard Conway			Date	01/10/2002
Residence: City	Carmel	State	IN	Country	USA
Post Office Address	121 Lark Drive, Apartment C				
Post Office Address	SAME AS ABOVE				
City	Carmel	State	IN	Zip	46032
				Country	USA

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Brian	Middle Name	Eugene	Family Name	Cunningham
Inventor's Signature	Brian C. Cunningham			Date	1/10/2002
Residence: City	Martinsville	State	IN	Country	USA
Post Office Address	6990 Red Day Road				
Post Office Address	SAME AS ABOVE				
City	Martinsville	State	IN	Zip	46151
				Country	USA

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Don	Middle Name	Richard	Family Name	Finley
Inventor's Signature	Don Richard Finley			Date	1/9/2002
Residence: City	Greenwood	State	IN	Country	USA
Post Office Address	4644 Macy Drive				
Post Office Address	SAME AS ABOVE				
City	Greenwood	State	IN	Zip	46142
				Country	USA

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE**DECLARATION**

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Lawrence	Middle Name	Joseph	Family Name	Heinz	Suffix e.g. Jr.	
Inventor's Signature	<i>Lawrence Joseph Heinz</i>					Date	1/9/2002
Residence: City	Pittsboro	State	IN	Country	USA	Citizenship	USA
Address	212 Fawn Court						
Post Office Address	SAME AS ABOVE						
City	Pittsboro	State	IN	Zip	46167	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Cynthia	Middle Name	Darshini	Family Name	Jesudason	Suffix e.g. Jr.	
Inventor's Signature	<i>Cynthia Darshini Jesudason</i>					Date	1/9/2002
Residence: City	Indianapolis	State	IN	Country	USA	Citizenship	Sri Lanka
Post Office Address	1090 Fleetwood Drive						
Post Office Address	SAME AS ABOVE						
City	Indianapolis	State	IN	Zip	46228	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Daniel	Middle Name	Timothy	Family Name	Kohlman	Suffix e.g. Jr.	
Inventor's Signature	<i>Daniel Timothy Kohlman</i>					Date	1/9/2002
Residence: City	Camby	State	IN	Country	USA	Citizenship	USA
Post Office Address	6281 East Old Otto Court						
Post Office Address	SAME AS ABOVE						
City	Camby	State	IN	Zip	46113	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Sidney	Middle Name	Xi	Family Name	Liang	Suffix e.g. Jr.	
Inventor's Signature	<i>Sidney Xi Liang</i>					Date	
Residence: City	Hamden	State	CT	Country	USA	Citizenship	USA
Address	1404 Aspen Glen Drive						
Post Office Address	SAME AS ABOVE						
City	Hamden	State	CT	Zip	06518	Country	USA

Please type a plus sign (+) inside this box



PTO/SB/01 (8-96) (MODIFIED)

DECLARATION

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name	Yao-Chang	Middle Name		Family Name	Xu	Suffix e.g. Jr.	
Inventor's Signature	<i>Yao-Chang Xu</i>				Date	1/10/2002	
Residence: City	Fishers	State	IN	Country	USA	Citizenship	Canada
Post Office Address	10815 Timber Springs Drive East						
Post Office Address	SAME AS ABOVE						
City	Fishers	State	IN	Zip	46038	Country	USA

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name		Middle Name		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address	SAME AS ABOVE						
City		State		Zip		Country	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name		Middle Name		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Address							
Post Office Address	SAME AS ABOVE						
City		State		Zip		Country	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name		Middle Name		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address	SAME AS ABOVE						
City		State		Zip		Country	